

# Initiatives and Progress from the Executive Safety Conference

ISM Forum, May 7-8, 2002

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# December Conference Brought Significant Results:

- Agreement on General Principles for Line and Independent Oversight
- Agreement on Improving Efficiencies
- Agreement on Improving Contractor Self-Assessment Programs

# Progress Made Since the Conference:

- Office of River Protection – Integrated Planning Pilot
- Joint DOE/Contractor Assessment at Rocky Flats
- SC Survey of Field Oversight
- SC Model Contract Initiative

# SC Survey of Field Oversight

- Integrated schedule of all oversight activities
- Status and plans for satisfying the December conference agreements
- Oversight plan assuming contractor is “best in class”

# SC Survey Results: Integrated Schedule

- Half the oversight activities are related to ES&H.
- More oversight activities in operational areas than programmatic.
- Integrated planning already used by the contractors and DOE field.

# SC Survey Results: Status and Plans

- Strong support for the DOE oversight policy.
- Variable level of maturity on contractor self-assessment programs.
- Support certification of self-assessment programs but need to determine benefits.

# SC Survey Results:

## “Best in Class” Contractor

- No fundamental change.
- Scope and frequency of oversight activities could be reduced as performance improved.
- Emphasis on robust contractor self-assessment programs and operational awareness activities.

# Office of Science Model Contract Initiative

- Principles for Office of Science Laboratory Contracts (R. Card 4/30/02 memo)
  - Line management accountability
  - National standards
  - Oversight
  - Contractor accountability
  - Vision
  - Incentives



# Model Contract Initiative: Oversight Principle

Laboratory contractors use external, nationally recognized experts to carry out independent risk and vulnerabilities studies, validate and certify that the contractor management system meet applicable laws or regulations, and to verify best in class contractor practices...

# This Forum Will Build on Recent Progress:

- Focusing DOE Oversight to Improve Efficiency
  - Integrated Planning
  - Risk-based and Performance-based Oversight Model
- Strengthening Contractor Self-Assessment
  - Best Practices
  - Internal/External Accreditation

# Oversight Model

- More oversight if higher risk and lower performance.
- Key details:
  - How do we measure risk?
  - How do we measure performance?

